



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

## BIB DATA SHEET

CONFIRMATION NO. 9758

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.	
10/697,851	10/30/2003 RULE	705	3691	30894-101	
<b>APPLICANTS</b> Thomas Peterffy, Greenwich, CT; Ken Leibler, Chestnut Hill, MA; Luc Bertrand, Baie d'Urfe, CANADA;					
<b>** CONTINUING DATA *****</b> This appln claims benefit of 60/422,408 10/30/2002					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **</b> 01/30/2004					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /BIJENDRA K SHRESTHA/ Acknowledged Examiner's Signature	<input type="checkbox"/> Met after Allowance Initials	<b>STATE OR COUNTRY</b>  CT	<b>SHEETS DRAWINGS</b>  5	<b>TOTAL CLAIMS</b>  20	<b>INDEPENDENT CLAIMS</b>  6
<b>ADDRESS</b> BURNS & LEVINSON, LLP 125 SUMMER STREET BOSTON, MA 02110 UNITED STATES					
<b>TITLE</b> Price improvement processor for electronic trading of financial instruments					
<b>FILING FEE RECEIVED</b> 514	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	